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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 35.C14367

First Named Inventor or Application Identifier

MASAKI NISHIYAMA

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
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1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification Total Pages 40

3. ☒ Drawing(s) (35 USC 113) Total Sheets 12

4. ☒ Oath or Declaration Total Pages 1

- a. ☐ Newly executed (original or copy)  
b. ☒ Unexecuted for information purposes  
c. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed Statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4c is checked)  
The entire disclosure of the prior application, from which a copy of the  
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part of the disclosure of the accompanying application and is hereby  
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6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy  
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## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))  
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)  
10. ☐ English Translation Document (if applicable)  
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
12. ☐ Preliminary Amendment  
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application Status still proper and desired  
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
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17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_/\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	37-20 =	17	X \$ 18.00 =	\$306.00
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	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$260.00 =	\$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$690.00
	Total of above Calculations =				\$1,686.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	TOTAL =				\$1,686.00

19. Small entity status

- a. ☐ A Small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.


20. ☒ A check in the amount of \$ 1,686.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	Leonard P. Diana (Reg. No. 29,296)
SIGNATURE	
DATE	March 20, 2000

PERIPHERAL APPARATUS, CONTROL METHOD FOR  
PERIPHERAL APPARATUS, MEMORY MEDIUM,  
AND INFORMATION PROCESSING SYSTEM

5 BACKGROUND OF THE INVENTION

Field of the Invention

The invention relates to a peripheral apparatus, a control method for the peripheral apparatus, a memory medium, and an information processing system, for  
10 notifying in response to a data reading request which is issued from a host computer.

Related Background Art

Hitherto, when a host receives data from a printer, for example, in the standard of the USB  
15 (Universal Serial Bus), a request of Bulk-In is issued. Generally, according to such a standard, in response to the request, the printer returns data or, if the data is not prepared, the printer returns an Nak.

However, in the conventional technique, for  
20 example, in a cheap printer, return data cannot be promptly returned during the printing or the like because of a limitation of hardware such that a CPU for performing communication of the USB and a CPU for performing a print control are the same. There are,  
25 consequently, big problems such that a fairly large number of Bulk-In and Nak intersect on a bus of the USB and a waste occurs and performance of a host PC (host

personal computer) remarkably deteriorates due to the issue of the Bulk-In.

In a printer having dual I/F of the USB and IEEE1284 or the like, such a problem further becomes  
5 remarkable during the printing by IEEE1284.

#### SUMMARY OF THE INVENTION

It is, therefore, an object of the invention to provide a peripheral apparatus, a control method for  
10 the peripheral apparatus, a memory medium, and an information processing system, in which performance of a connected host computer is not deteriorated.

To accomplish the above object, according to the invention, there is provided a peripheral apparatus  
15 comprising:

connecting means for connecting to a host computer;

first means for, in response to a data reading request which is issued from the host computer,  
20 notifying the connecting means of response data in the case where the response data has been prepared and notifying the connecting means of the fact that a response cannot be made in the case where the response data is not prepared yet;

25 second means for notifying the connecting means of the absence of data to be responded in response to the data reading request which is issued from the host

computer; and

switching means for switching the first and second means in accordance with a status of the peripheral apparatus.

5       According to the invention, there is also provided a peripheral apparatus comprising:

first connecting means for connecting to a host computer;

10       second connecting means for connecting to the host computer;

15       first means for, in response to a data reading request which is issued from the host computer, notifying the first connecting means of response data in the case where the response data has been prepared and notifying the first connecting means of the fact that a response cannot be made in the case where the response data is not prepared yet;

20       second means for notifying the first connecting means of the absence of data to be responded in response to the data reading request which is issued from the host computer; and

switching means for switching to the second means in case of processing by using the second connecting means.

25       According to the invention, there is further provided a control method for a peripheral apparatus, comprising the steps of:

when a status of the apparatus is a first status,  
switching a control mode to a first mode such that in  
response to a data reading request which is issued from  
a host computer, when response data has been prepared,  
5 the response data is notified, and when the response  
data is not prepared yet, the fact that a response  
cannot be made is notified; and

when the status of the apparatus is not the first  
status, switching the control mode to a second mode  
10 such that in response to the data reading request which  
is issued from the host computer, the absence of data  
to be responded is notified.

According to the invention, there is further  
provided a control method for a peripheral apparatus,  
15 comprising the steps of:

when a process using first connecting means is  
started, switching a control mode to a first mode such  
that the absence of data to be responded is notified to  
second connecting means in response to a data reading  
20 request which is issued from a host computer; and

when the process using the first connecting means  
is finished, switching the control mode to a second  
mode such that in response to the data reading request  
which is issued from the host computer, when response  
25 data has been prepared, the response data is notified  
to the first connecting means and, when the response  
data is not prepared yet, the fact that the response

cannot be made is notified to the first connecting means.

According to the invention, there is provided a memory medium which stores a program comprising the  
5 steps of:

when a status of the apparatus is a first status, switching a control mode to a first mode such that in response to a data reading request which is issued from a host computer, when response data has been prepared,  
10 the response data is notified, and when the response data is not prepared yet, the fact that a response cannot be made is notified; and

when the status of the apparatus is not the first status, switching the control mode to a second mode  
15 such that in response to the data reading request which is issued from the host computer, the absence of data to be responded is notified.

According to the invention, there is further provided a memory medium which stores a program  
20 comprising the steps of:

when a process using first connecting means is started, switching a control mode to a first mode such that the absence of data to be responded is notified to second connecting means in response to a data reading  
25 request which is issued from a host computer; and

when the process using the first connecting means is finished, switching the control mode to a second

mode such that in response to the data reading request which is issued from the host computer, when response data has been prepared, the response data is notified to the first connecting means and, when the response  
5 data is not prepared yet, the fact that the response cannot be made is notified to the first connecting means.

According to the invention, there is provided an information processing system comprising  
10 a host computer and  
a peripheral apparatus,  
wherein the peripheral apparatus has:  
connecting means for connecting to a host  
computer;  
15 first means for, in response to a data reading request which is issued from the host computer,  
notifying the connecting means of response data in the case where the response data has been prepared and  
notifying the connecting means of the fact that a  
20 response cannot be made in the case where the response data is not prepared yet;  
second means for notifying the connecting means of the absence of data to be responded in response to the data reading request which is issued from the host  
25 computer; and  
switching means for switching the first and second means in accordance with a status of the peripheral



apparatus.

According to the invention, there is further provided an information processing system comprising

a first host computer,

5 a second host computer, and

a peripheral apparatus,

wherein the peripheral apparatus has:

first connecting means for connecting to the first host computer;

10 second connecting means for connecting to the second host computer;

first means for, in response to a data reading request which is issued from the host computer, notifying the first connecting means of response data in the case where the response data has been prepared and notifying the first connecting means of the fact that a response cannot be made in the case where the response data is not prepared yet;

20 second means for notifying the first connecting means of the absence of data to be responded in response to the data reading request which is issued from the host computer; and

switching means for switching to the second means in case of processing by using the second connecting means.

25

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a constructional diagram of an embodiment 1;

Fig. 2 is a diagram showing a constructional diagram of USB EndPoint of the embodiment 1;

5 Fig. 3 is a diagram showing a software construction of a host PC and a printer;

Fig. 4 is a constructional diagram of an embodiment 2;

10 Fig. 5 is a diagram showing signal lines of IEEE1284;

Fig. 6 is a constructional diagram of an embodiment 3;

Fig. 7 is a diagram showing communication signal lines and their drivers of an embodiment 4;

15 Figs. 8A and 8B are diagrams showing timings for connection and disconnection of a cable 401 and voltage changes of data1;

Fig. 9 is a diagram showing a data packet;

Fig. 10 is a diagram showing a blank packet;

20 Fig. 11 is a diagram for explaining an Nak packet;

Fig. 12 is a diagram showing a print control flow of the embodiment 1;

Fig. 13 is a diagram showing a print control flow of the embodiment 2;

25 Fig. 14 is a diagram showing a construction of the embodiment 2;

Fig. 15 is a constructional diagram of an

embodiment 5; and

Fig. 16 is a diagram showing a print control flow of the embodiment 5.

5 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A printing apparatus of an embodiment according to the invention will now be described in detail hereinbelow with reference to the drawings. Although the USB is mentioned as communicating means for  
10 convenience of explanation, it will be understood that the invention can be also similarly embodied in other communicating means for performing packet communication. Although a printer is mentioned as a peripheral apparatus, the invention can be also  
15 similarly embodied in peripheral apparatuses such as scanner, facsimile, and the like.

(Embodiment 1)

Embodiments according to the invention will now be described in detail hereinbelow with reference to the  
20 drawings.

In the embodiment, pipes of Control, Bulk-In, and Bulk-Out are used in conformity with USB Printer Spec. 1.0. (Refer to Fig. 2 and refer to USB standard 1.00 for the definition of those pipes.) The Control pipe  
25 is mainly used for control of a printer reset or the like. Print data is transmitted to the printer by Bulk-Out. Bulk-In is used to return a status or the

like of the printer to the host.

Fig. 1 is a diagram showing a construction of the embodiment 1 of the invention. Reference numeral 50 denotes a host computer; 60 a printer; 100 a central  
5 processing unit (CPU) for controlling various processes; 200 a random access memory (RAM); 300 a read only memory (ROM) in which a control program regarding flowcharts, which will be explained hereinlater and a control program for the printer according to the  
10 invention are stored; 400 a printer engine; 500 a Bulk-Out FIFO for receiving print data which is supplied to the printer engine 400; 600 a Bulk-In FIFO for the Bulk-In pipe which is used for return data such as status information of the printer or the like shown in  
15 Fig. 9 to the host; 700 a serial interface engine (SIE) of the USB; and 800 a USB cable. In the conventional technique, the Bulk-In FIFO 600 is directly connected to the SIE 700. In the invention, a blank packet generator 900 for generating a blank packet shown in  
20 Fig. 10 and a switch 1000 for switching the Bulk-In FIFO 600 and blank packet generator 900 in accordance with an instruction from the CPU 100 are further provided. Reference numeral 10 denotes a system bus.

A control of the embodiment in the above  
25 construction will now be described with reference to the drawings.

An outline of the conventional technique will be

first described. First, the print data from the host is encoded by a USB host controller (not shown) of a host machine and supplied as data of Bulk-Out onto a USB bus through the USB cable 800. The data is decoded  
5 by the SIE 700 and stored in the Bulk-Out FIFO 500. The CPU 100 obtains the data stored in the FIFO 500 and transfers it to the printer engine 400, so that the print is executed. In this way, the print data flows.

A flow of the status information of the printer  
10 will now be described. Generally, the printer driver not only transmits the print data to the printer but also provides the printer status such as paper jam, absence of paper, or the like to the user. Such a function is controlled by a program called a status  
15 monitor. The status monitor periodically issues a status request to the printer in order to obtain the status. The status request issued as mentioned above is sent to the USB host controller via many Application Program Interfaces (API) which are provided by the  
20 Operating System (OS) and drivers (refer to Fig. 3). The status request becomes a Bulk-In request by the USB host controller and is issued onto the USB bus. The SIE 700 decodes the Bulk-In request and notifies the CPU 100 of the decoded request. The CPU 100 which  
25 detected the Bulk-In request stores the status of the printer engine 400 into the Bulk-In FIFO 600. Subsequently, the status data stored in the FIFO 600 is

encoded by the SIE 700 and returned to the host through the USB cable 800. This data is decoded by the USB host controller and sent to the status monitor via the API/driver in order opposite to the order in case of  
5 the request. Finally, the status monitor also notifies the operator of the obtained data as a printer status by using the API for display.

In the cheap printer, since the CPU 100 simultaneously executes the control of the printer engine and the process of the USB interface, there is a  
10 case where a response cannot be timely made to the Bulk-In request due to a problem on processing performance. In such a case, since there is no data in the Bulk-In FIFO 600, the SIE 700 returns an Nak  
15 (packet indicating that a response cannot be made because of a busy status) shown in Fig. 11 to the host in accordance with the USB standard. The USB host controller which received the Nak again automatically issues the Bulk-In request onto the USB bus. A waste  
20 such that the SIE 700 again returns the Nak in response to the issued Bulk-In and the USB host controller again issues the Bulk-In in response to this Nak windingly occurs. Further, for this interval, since the Nak is automatically processed in the host controller, the  
25 control is not returned to the status monitor, so that the status cannot be notified to the operator. The fact that there is a case where performance of the

whole system deteriorates by up to about 50% in the USB host controller due to an overhead of the issue of the Bulk-In request is also reported.

The control of the invention will now be described in detail. In the invention, the Bulk-In FIFO 600 is not directly connected to the SIE but connected to the SIE through the switch 1000. The switch 1000 is also similarly connected to the blank packet generator 900 and controls the connection to the SIE 700 by an instruction from the CPU 100. In such a construction, when the print data is transmitted from the host PC, the data is first stored in the Bulk-Out FIFO 500 by the conventional technique. Although the CPU 100 executes the control of the printer engine in order to print this data, it previously operates the switch 1000 before the execution of the printer engine control and connects the blank packet generator 900 to the SIE 700. In the embodiment, the switching operation is performed by writing "01h" into an I/O port "80h" (a small letter "h" denotes the hexadecimal notation) of the CPU 100. When the engine control is finished, the CPU 100 again operates the switch 1000 (by writing "00h" into the I/O port "80h") and connects the Bulk-In FIFO 600 to the SIE 700.

Even when the CPU 100 received the Bulk-In request from the USB host controller during the control of the printer engine, since the blank packet generator has

been connected to the SIE 700, a blank packet can be automatically returned in response to the request. The USB host controller which received the blank packet returns a signal indicative of the absence of reception data (NumofBytesRead = 0) to a driver layer 53. Further, as for an API layer 52, the absence of reception data is also similarly returned to a status monitor 51. Unlike the conventional technique, since the control is returned to the status monitor, the status monitor can notify the operator of the absence of the reception data (usually, a busy status of the printer).

According to the invention, when the USB host controller receives the blank packet from the SIE 700, the transaction of the Bulk-In is finished, so that the degrading of the performance can be also avoided.

Fig. 12 is a flowchart for the print control in the embodiment 1. This flow is executed at the timings when the print data is received and developed and the printer engine is activated to start the printing. First in step S51, "01" is written into the I/O port "80h". In step S52, the printer engine is controlled. However, the blank packet is returned by the writing in step S51 in response to the Bulk-In request under the control. When the engine control is finished, "00" is finally written into the I/O port "80h" in step S53 and the processing routine is finished.



The flow can be also executed at the timing when the print data is received from the host, the timing when the development of the print data is started, or the timing when the development of the print data is finished.

According to the invention as mentioned above, the excellent effects such that not only the printer status can be timely notified to the operator but also the degrading of the performance of the host PC can be avoided can be expected.

(Embodiment 2)

Fig. 4 is a constructional diagram of the embodiment 2. A Centronics interface (IEEE1284) is further added to the construction of the embodiment 1, thereby enabling the printer to be shared by two host PCs. According to this construction, further typical effects of the invention can be obtained. Fig. 14 is a diagram showing a construction of the embodiment 2, in which a host computer 5000, a Centronics IEEE1284 cable 1800, and a Super I/O 1700 in which a plurality of interfaces such as Centronics interfaces or the like are united and enclosed in one chip are added. Particularly, the Super I/O 1700 supports a bidirectional communication such as ECP, EPP, or the like in case of the Centronics I/F and raises a communication speed by a method such as buffering or the like. The other construction is substantially the

same as that of Fig. 1.

Generally, in case of the double interface as mentioned above, the interface used first has the priority and the other interface can be used after the end of a print job. That is, in case of this construction, a period of time when the apparatus cannot respond to the Bulk-In request from the host PC is very long (2 or 3 minutes or longer). (This is because, in case of the conventional technique, it is necessary that the CPU 100 executes not only the printer engine control but also the processes of the Centronics I/F and the apparatus cannot meet the Bulk-In request of the USB I/F until the end of the printing.) Specifically speaking, now assuming that the operator of a PC1 started the printing while a PC2 was using the Centronics I/F, although the status request of the status monitor is issued as Bulk-In onto the USB bus, this request is returned by Nak until the end of the print job of the PC2 (usually, for 2 to 3 minutes), so that the performance of the host PC1 is remarkably deteriorated. Since the control is not returned to the status monitor as already described in the embodiment 1, the busy status of the printer is not notified to the operator of the host PC1 during this period of time.

When the invention is applied, it will be easily understood that it is sufficient to connect the blank

packet generator to the SIE 700 by operating the switch 1000 prior to starting the printing by the Centronics I/F. Thus, even in the printing by the Centronics I/F, the blank packet can be timely returned, the

5 performance of the host PC1 is not deteriorated, and the busy status of the printer can be also notified to the operator. Finally, after completion of the printing by the Centronics I/F, it is sufficient to return the switch 1000 to the Bulk-In FIFO 600. On the

10 contrary, while the host PC1 is using the USB I/F in order to prepare for the next print job, the busy status of the printer is notified to the host PC2 by setting the busy status of the Centronics I/F of the printer to the high level by the conventional technique

15 (refer to Figs. 4 and 5).

Fig. 13 is a flowchart for the print control of the embodiment 2. First, in step S61, whether data exists in the Bulk-Out FIFO of the USB or not is discriminated. If YES, step S66 follows and whether

20 Centronics data exists or not is discriminated in step S62. If NO, the processing routine is returned to step S61. If YES in step S62, "01" is written into the I/O port "80h" in step S63. The printing process of the Centronics data is completed in step S64. In step S65,

25 "00h" is written into the I/O port "80h" and the processing routine is returned to step S61. If YES in step S61, the Centronics I/F is set to a busy status

(#11pin - high) in step S66. In step S67, the print job via the USB I/F is completed (the processes in Figs. 12 and 16 are executed). In step S68, the Centronics I/F is set to a ready status (#11 - low) and  
5 the processing routine is returned to step S61. Even when the print job arrives via the USB I/F during the Centronics printing, since a response to the Bulk-In request indicates the blank packet in step S63, no Nak is generated, thereby making it possible to notify the  
10 operator of the fact that the printer is busy. Similarly, since the Centronics I/F becomes busy in step S66 during the USB printing, the busy status can be also notified to the operator. (Although the time-out is used as usual means for discriminating the busy  
15 status of the Centronics I/F, since it is the well-known technique, its detailed description is omitted here.)

(Embodiment 3)

Fig. 6 is a constructional diagram of the  
20 embodiment 3. It differs from the embodiment 1 with respect to a point that the HUB function is provided in the printer and the printer can be further attached to its own downstream.

(Embodiment 4)

25 In the embodiment 4, the cable which is connected to the upstream and the downstream in the embodiment 1 can be connected or disconnected in a current supplying

state. Since a construction is substantially similar to that of the embodiment 1, its detailed description is omitted. The embodiment 4 will now be described in detail hereinbelow with reference to the drawings.

5           Fig. 7 is a diagram showing signal lines for constructing a communicating apparatus of the invention and their drivers. A shield twisted cable 401 (hereinafter, abbreviated to a cable 401) comprising signal lines data1 and data2 couples a repeater side 10 402 of the hub and a device side 403 (hub or node). In detail, each signal line is connected to a transceiver 404 and electrically transmits or receives data. Resistors R1 and R2 are connected to the signal lines and prevent the signal lines from being set to the high 15 impedance.

Each transceiver 404 has therein a differential amplifying type input/output device, a port for reading a voltage of each signal line, a serial/parallel converter, and the like and controls electric signals 20 of the signal lines data1 and data2. The signal lines data1 and data2 can serially transmit a control signal of a PC 102 and a signal from a node 104 in accordance with a predetermined protocol.

In the invention, when no signal is transmitted 25 and received, if the signal line data1 is at the high level and the signal line data2 is at the low level, this means that an apparatus is connected to one end

(node side) of the network, and if the signal line data1 is at the low level and the signal line data2 is at the low level, this means that an apparatus is not connected.

5           In the device 403, the signal line data1 is connected to an R-controller 405 through a resistor R3.

Figs. 8A and 8B show timings for connecting and disconnecting the cable 401 and voltage changes of the signal line data1.

10           V0l and V0h used in the diagrams indicate low and high detectable voltages of the port connected to the signal line data1 of the host 402, respectively.

Fig. 8A is a diagram showing a connecting sequence.

15           Reference numeral 501 denotes that the cable 401 has been connected. In this instance, the R-controller 405 connected to the resistor R3 generates a voltage of 5V and the voltage of the signal line data1 rises in accordance with a wiring capacitance of the resistor R3 and cable 401. The voltage of the signal line data1 exceeds V0h after the elapse of a certain time T1 (time point 502), so that it is possible to recognize that the port input is at the high level. Therefore, it is possible to detect that a device has been connected to  
20  
25           the downstream port.

Fig. 8B is a diagram showing a disconnecting sequence of the cable 401.

Reference numeral 503 denotes that the cable 401 has been disconnected. The voltage of the signal line data1 drops in accordance with a wiring capacitance of the resistor R1 and the signal line data1. The voltage of the signal line data1 exceeds V0L after the elapse of a certain time T2 (time point 504), so that it is possible to recognize that the port input is at the low level. Therefore, it is possible to detect that the device has been disconnected from the downstream port.

10 (Embodiment 5)

An example in which processes at the time of occurrence of an error are added to the embodiment 1 of the invention will now be described with reference to Figs. 15 and 16.

15 Fig. 15 shows a constructional example and is formed fundamentally by adding an error detector 2700 to the construction of Fig. 1.

In the embodiment of the invention, the Bulk-In FIFO 600 is not directly connected to the SIE but connected to the SIE through the switch 1000.

20 The switch 1000 is also similarly connected to the blank packet generator 900 and controls the connection to the SIE 700 by an instruction from the CPU 100. In such a construction, when print data is transmitted from the host PC, the data is first stored in the Bulk-Out FIFO 500 by the conventional technique.

Although the CPU 100 executes the printer engine

control in order to print the print data, it previously operates the switch 1000 prior to executing the printer engine control so as to connect the blank packet generator 900 to the SIE 700. In the embodiment, the  
5 switching operation is performed by writing "01h" into the I/O port "80h" (a small letter "h" indicates the hexadecimal notation) of the CPU 100. When the engine control is finished, the CPU again operates the switch 1000 (by writing "00h" into the I/O port "80h") so as  
10 to connect the Bulk-In FIFO 600 to the SIE 700. When an error occurs during the engine control, the CPU 100 also operates the switch 1000 (by writing "00h" into the I/O port "80h") in response to an output of the error detector 2700, thereby enabling an error status  
15 to be transmitted from the Bulk-In FIFO to the host.

A control flow will now be described with reference to Fig. 16. A control program regarding the flowchart of Fig. 16 is stored in the ROM 300 and executed by the CPU 100.

20 First, "01" is written into the I/O port "80h" in step S71.

In step S72, the printer engine is controlled on the basis of the data received from the host.

In step S73, whether an error such as a paper jam  
25 or the like has occurred or not is discriminated. When no error occurs, step S77 follows. When the error occurs, step S74 follows.



In step S74, "00" is written into the I/O port "80h".

In step S75, an error status (paper jam or the like) is stored into the Bulk-In FIFO.

5        In step S76, the apparatus waits for an error recovery by the user and, after completion of the recovery, "01" is again written into the I/O port "80h".

10        In step S77, whether the print job has been completed or not is discriminated. If NO, the processing routine is returned to step S72. If YES, step S78 follows.

In step S78, "00" is finally written into the I/O port "80h" and the processing routine is finished.

15        The operation of the host computer 50 will now be described. The status monitor issues the Bulk-In request at a predetermined period (for example, 500 msec).

20        Even if the Bulk-In request is received from the USB host controller while the CPU 100 is controlling the printer engine, since the blank packet generator has been connected to the SIE 700, the blank packet can be automatically returned in response to the request. The USB host controller which received the blank packet  
25        returns a signal indicative of the absence of the reception data (NumofBytesRead = 0) to the driver layer 53. Further, the API layer 52 also similarly returns

the signal indicative of the absence of the reception data to the status monitor 51. Unlike the conventional technique, since the control is returned to the status monitor, the status monitor can notify the operator of the absence of the reception data (usually, the normal print).

According to the embodiment as mentioned above, excellent effects such that not only the printer status can be timely notified to the operator but also, even when an error occurs, the error status can be immediately notified to the user can be expected.

The process in step S71 can be also executed at a timing when the print data is received from the host, the timing when the development of the print data is started, or the timing when the development of the print data is finished.

(Other embodiments)

The invention is not limited to the kind of network but can be applied to networks of various interfaces. For example, the invention can be applied to interfaces called USB or IEEE1394. It will be also obviously understood by those having the ordinary skill in the art that the invention can be similarly applied to not only printers but also another information apparatuses such as facsimile apparatuses having a printer engine, scanners where the printer engine is replaced with a scanner engine.

In the peripheral apparatus which can notify the information processing apparatus of a busy status (Nak packet), by providing the means for showing that the absence of the communication information (packet  
5 without response data) other than the busy status and the means which can exclusively select the busy status and the absence of the communication information, a large effect such that the vain requests and busy status on the communication bus can be omitted can be  
10 expected. Further, an excellent effect such that the status of the information processing apparatus can be timely notified to the operator can be also expected.

According to the invention as described in detail above, it is possible to provide the peripheral  
15 apparatus, the control method for the peripheral apparatus, the memory medium, and the information processing system, in which the vain data reading request which is issued from the host apparatus can be omitted and the performance of the host computer is not  
20 deteriorated.

Further, it is possible to provide the peripheral apparatus, the control method for the peripheral apparatus, the memory medium, and the information processing system, in which the status can be timely  
25 notified to the operator while omitting the vain requests on communication.

WHAT IS CLAIMED IS:

1. A peripheral apparatus comprising:  
connecting means for connecting to a host  
computer;  
5 first means for, in response to a data reading  
request which is issued from said host computer,  
notifying said connecting means of response data in the  
case where the response data has been prepared and  
notifying said connecting means of the fact that a  
10 response cannot be made in the case where the response  
data is not prepared yet;  
second means for notifying said connecting means  
of the absence of data to be responded in response to  
the data reading request which is issued from said host  
15 computer; and  
switching means for switching said first means and  
said second means in accordance with a status of the  
peripheral apparatus.
- 20 2. An apparatus according to claim 1, further  
comprising second connecting means different from said  
connecting means,  
and wherein in case of processing data from said  
second connecting means, said switching means switches  
25 to said second means.
3. An apparatus according to claim 1, wherein

said connecting means is connected to said host computer through a cable which conforms with a USB standard or an IEEE1394 standard.

5           4. An apparatus according to claim 2, wherein  
said connecting means is connected to said host  
computer through a cable which conforms with a USB  
standard or an IEEE1394 standard, and said second  
10 connecting means is connected to said host computer  
through a cable which conforms with an IEEE1284  
standard.

          5. An apparatus according to claim 1, wherein  
said first means notifies of the response data by a  
15 data packet, and said second means notifies of the fact  
that said response cannot be made by an Nak packet, and  
notifies of the absence of the data to be responded by  
a blank packet.

20           6. An apparatus according to claim 1, wherein  
said peripheral apparatus includes a printer.

          7. An apparatus according to claim 1, wherein  
said peripheral apparatus includes a scanner.

25

          8. An apparatus according to claim 1, wherein  
said peripheral apparatus includes a facsimile.

9. An apparatus according to claim 6, wherein said switching means switches to said second means at a timing when a printer engine or a scanner engine operates.

5

10. An apparatus according to claim 6, wherein said switching means switches to said second means at a timing when print data is received, a timing when a development of the print data is started, or a timing when the development of the print data is finished.

11. An apparatus according to claim 1, wherein said switching means switches to said second means at a timing when an engine control is performed, when the data is received, when a development of the data is started, or when the development of the data is finished, switches to said first means when an error occurs, switches to said second means when the error is recovered, and switches to said first means when a job is finished,

and after switching to said first means when the error occurs, error information is notified to said connecting means.

12. A peripheral apparatus comprising:  
first connecting means for connecting to a host computer;

second connecting means for connecting to the host computer;

first means for, in response to a data reading request which is issued from said host computer, notifying said first connecting means of response data in the case where the response data has been prepared and notifying said first connecting means of the fact that a response cannot be made in the case where the response data is not prepared yet;

10 second means for notifying said first connecting means of the absence of data to be responded in response to the data reading request which is issued from said host computer; and

switching means for switching to said second means in case of processing by using said second connecting means.

13. An apparatus according to claim 12, wherein in case of processing by using said first connecting means, said switching means switches said first means and said second means in accordance with a status of the apparatus.

14. An apparatus according to claim 12, wherein in case of processing by using said first connecting means, said switching means switches to said second means when an engine control is performed, when data is

received, when a development of the data is started, or when the development of the data is finished, and switches to said first means when a job is finished.

5           15. An apparatus according to claim 12, wherein  
in case of processing by using said first connecting  
means, said switching means switches to said second  
means when an engine control is performed, when data is  
received, when a development of the data is started, or  
10 when the development of the data is finished, switches  
to said first means when an error occurs, switches to  
said second means when the error is recovered, and  
switches to said first means when a job is finished,  
and after switching to said first means when the  
15 error occurs, error information is notified to said  
first connecting means.

16. A control method for a peripheral apparatus,  
comprising the steps of:  
20           when a status of the apparatus is a first status,  
switching a control mode to a first mode such that in  
response to a data reading request which is issued from  
a host computer, when response data has been prepared,  
the response data is notified, and when the response  
25 data is not prepared yet, the fact that a response  
cannot be made is notified; and  
when the status of the apparatus is not the first



status, switching the control mode to a second mode such that in response to the data reading request which is issued from the host computer, the absence of data to be responded is notified.

5

17. A method according to claim 16, wherein in case of processing data from a second host, the control mode is switched to said second mode.

10

18. A method according to claim 16, wherein said response data is notified by using a data packet, said fact that the response cannot be made is notified by using an Nak packet, and said absence of the data to be responded is notified by using a blank packet.

15

19. A method according to claim 16, wherein the control mode is switched to said second mode at a timing when a printer engine or a scanner engine operates.

20

20. A method according to claim 16, wherein the control mode is switched to said second mode at a timing when print data is received, a timing when a development of the print data is started, or a timing when the development of the print data is finished.

25

21. A method according to claim 16, wherein the

control mode is switched to said second mode when an engine control is performed, when data is received, when a development of the data is started, or when the development of the data is finished, is switched to  
5 said first mode when an error occurs, is switched to said second mode when the error is recovered, and is switched to said first mode when a job is finished,

and after the control mode is switched to said first mode when the error occurs, error information is  
10 notified.

22. A control method for a peripheral apparatus, comprising the steps of:

when a process using first connecting means is  
15 started, switching a control mode to a first mode such that the absence of data to be responded is notified to second connecting means in response to a data reading request which is issued from a host computer; and

when the process using said first connecting means  
20 is finished, switching the control mode to a second mode such that in response to the data reading request which is issued from said host computer, when response data has been prepared, the response data is notified to said first connecting means and, when the response  
25 data is not prepared yet, the fact that the response cannot be made is notified to said first connecting means.

23. A method according to claim 22, wherein in case of processing by using said second connecting means, said first mode and said second mode are switched in accordance with a status of the apparatus.

5

24. A method according to claim 22, wherein in case of processing by using said second connecting means, the control mode is switched to said first mode when an engine control is performed, when data is received, when a development of the data is started, or when the development of the data is finished, and the control mode is switched to said second mode when a job is finished.

10

15

25. A method according to claim 22, wherein in case of processing by using said second connecting means, the control mode is switched to said first mode when an engine control is performed, when data is received, when a development of the data is started, or when the development of the data is finished, is switched to said second mode when an error occurs, is switched to said first mode when the error is recovered, and is switched to said second mode when a job is finished,

20

25

and after the control mode is switched to said second mode when the error occurs, error information is notified to said second connecting means.

26. A computer-readable memory medium which stores a program, the program comprising the steps of:

when a status of the apparatus is a first status, switching a control mode to a first mode such that in  
5 response to a data reading request which is issued from a host computer, when response data has been prepared, the response data is notified, and when the response data is not prepared yet, the fact that a response cannot be made is notified; and

10 when the status of the apparatus is not the first status, switching the control mode to a second mode such that in response to the data reading request which is issued from said host computer, the absence of data to be responded is notified.

15

27. A medium according to claim 26, wherein in case of processing data from a second host, the control mode is switched to said second mode.

20

28. A medium according to claim 26, wherein said medium stores a program such that said response data is notified by using a data packet, said fact that the response cannot be made is notified by using an Nak packet, and said absence of the data to be responded is  
25 notified by using a blank packet.

29. A medium according to claim 26, wherein said

medium stores a program for switching the control mode to said second mode at a timing when a printer engine or a scanner engine operates.

5           30. A medium according to claim 26, wherein said medium stores a program for switching the control mode to said second mode at a timing when print data is received, a timing when a development of the print data is started, or a timing when the development of the  
10       print data is finished.

31. A medium according to claim 26, wherein said medium stores a program such that

15           the control mode is switched to said second mode when an engine control is performed, when data is received, when a development of the data is started, or when the development of the data is finished, is  
switched to said first mode when an error occurs, is  
switched to said second mode when the error is  
20       recovered, and is switched to said first mode when a job is finished,

and after the control mode is switched to said first mode when the error occurs, error information is notified.

25

32. A computer-readable memory medium which stores a program, the program comprising the steps of:

when a process using first connecting means is started, switching a control mode to a first mode such that the absence of data to be responded is notified to second connecting means in response to a data reading request which is issued from a host computer; and

when the process using said first connecting means is finished, switching the control mode to a second mode such that in response to the data reading request which is issued from the host computer, when response data has been prepared, the response data is notified to said first connecting means and, when the response data is not prepared yet, the fact that the response cannot be made is notified to said first connecting means.

15

33. A medium according to claim 32, wherein said medium stores a program for switching said first mode and said second mode in accordance with a status of the apparatus in case of processing by using said second connecting means.

20

34. A medium according to claim 32, wherein said medium stores a program for switching the control mode to said first mode when an engine control is performed, when data is received, when a development of the data is started, or when the development of the data is finished in case of processing by using said second

25

connecting means, and switching the control mode to said second mode when a job is finished.

35. A medium according to claim 32, wherein said  
5 medium stores a program such that in case of processing  
by using said second connecting means, the control mode  
is switched to said first mode when an engine control  
is performed, when data is received, when a development  
of the data is started, or when the development of the  
10 data is finished, is switched to said second mode when  
an error occurs, is switched to said first mode when  
the error is recovered, and is switched to said second  
mode when a job is finished,

and after the control mode is switched to said  
15 second mode when the error occurs, error information is  
notified to said second connecting means.

36. An information processing system comprising  
a host computer; and  
20 a peripheral apparatus,  
wherein said peripheral apparatus comprises:  
connecting means for connecting to a host  
computer;

first means for, in response to a data reading  
25 request which is issued from the host computer,  
notifying said connecting means of response data in the  
case where the response data has been prepared and

notifying said connecting means of the fact that a response cannot be made in the case where the response data is not prepared yet;

second means for notifying said connecting means  
5 of the absence of data to be responded in response to the data reading request which is issued from the host computer; and

switching means for switching said first means and said second means in accordance with a status of the  
10 peripheral apparatus.

37. An information processing system comprising  
a first host computer;  
a second host computer; and  
15 a peripheral apparatus,  
wherein said peripheral apparatus comprises:  
first connecting means for connecting to said  
first host computer;

second connecting means for connecting to said  
20 second host computer;

first means for, in response to a data reading request which is issued from the host computer,  
notifying said first connecting means of response data  
in the case where the response data has been prepared  
25 and notifying said first connecting means of the fact  
that a response cannot be made in the case where the  
response data is not prepared yet;



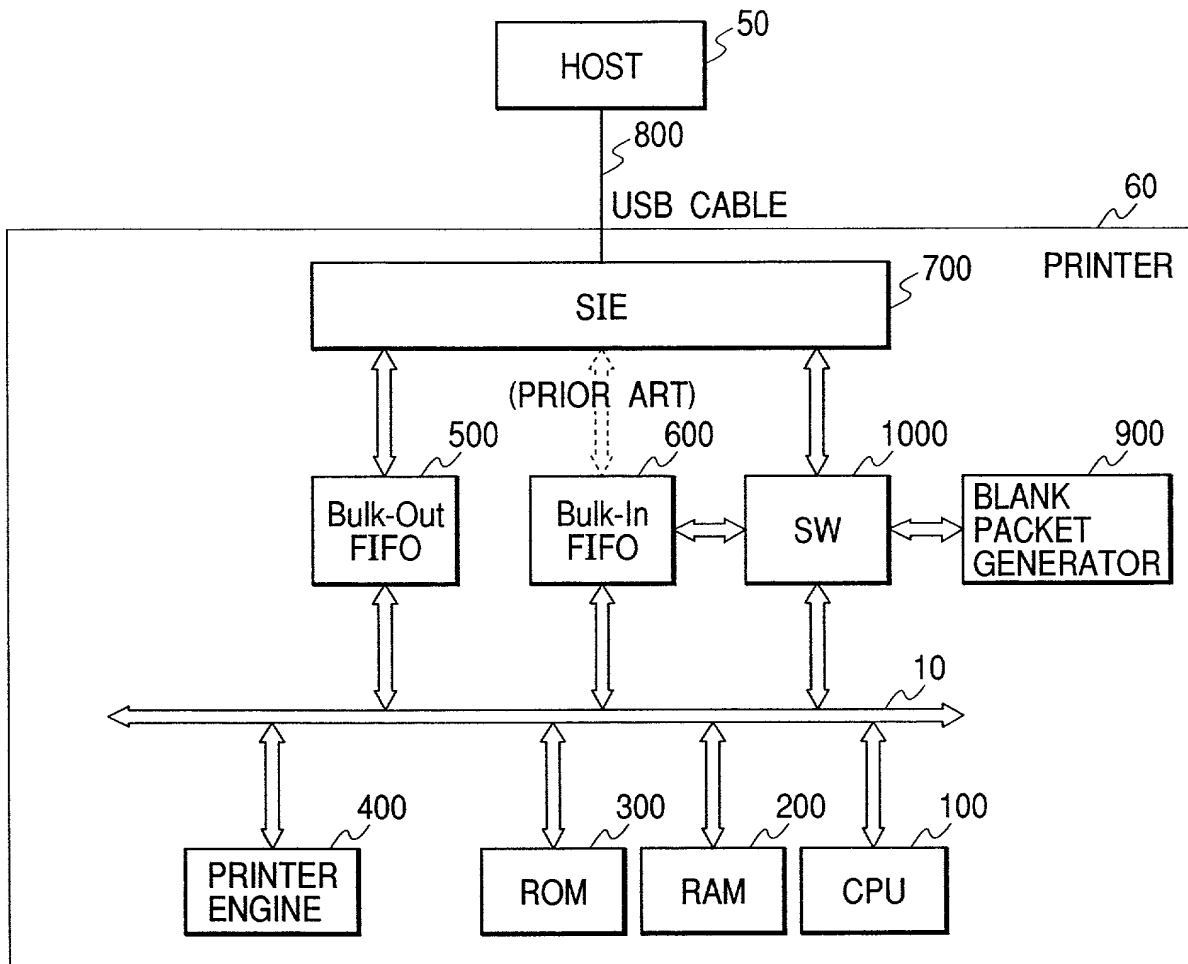
second means for notifying said first connecting means of the absence of data to be responded in response to the data reading request which is issued from the host computer; and

- 5        switching means for switching to said second means in case of processing by using said second connecting means.

ABSTRACT OF THE DISCLOSURE

A peripheral apparatus, a control method for the peripheral apparatus, a memory medium, and an information processing system, in which performance of  
5 a connected host computer is not deteriorated are provided. For this purpose, when a status of the apparatus is a first status, a control mode is switched to the first mode such that in response to a data  
10 reading request which is issued from the host computer, when response data has been prepared, the response data is notified, and when the response data is not prepared yet, the fact that a response cannot be made is notified, and when the status of the apparatus is not  
15 the first status, the control mode is switched to the second mode such that in response to the data reading request which is issued from the host computer, the absence of the data to be responded is notified.

**FIG. 1**



**FIG. 2**

EndPoint 0	Control
EndPoint 1	Bulk-Out
EndPoint 2	Bulk-In

FIG. 3

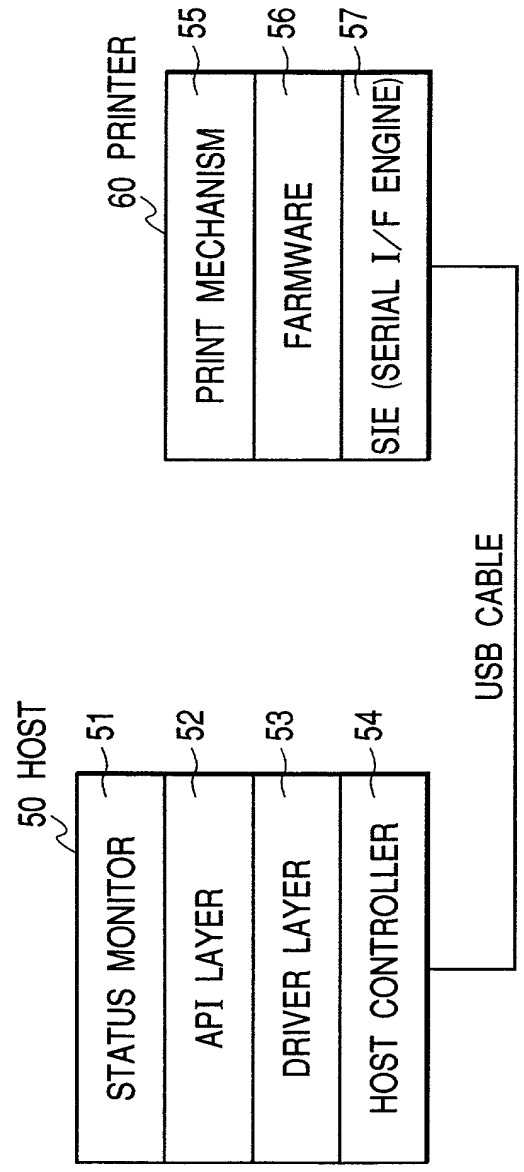
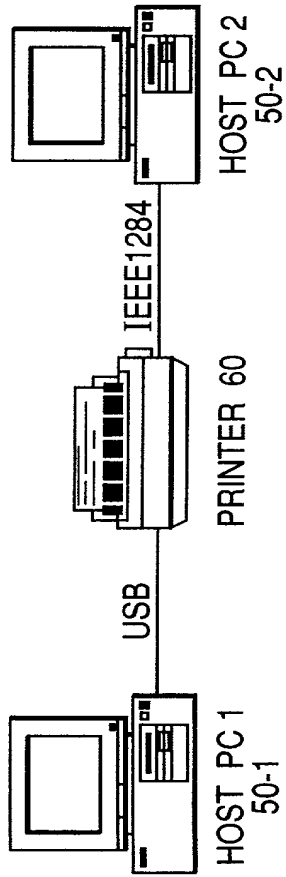


FIG. 4



*FIG. 5*

Pin #	Pin assignments	Source
1	nStrobe	Host
2—9	Data	Bi-Di
10	nAck	Peripheral
11	Busy	Peripheral
12	PError	Peripheral
13	Select	Peripheral
14	nAutoFeed	Host
15	nFault	Peripheral
16	nInit	Host
17	nSelectIn	Host
18—25	Signal Ground	

FIG. 6

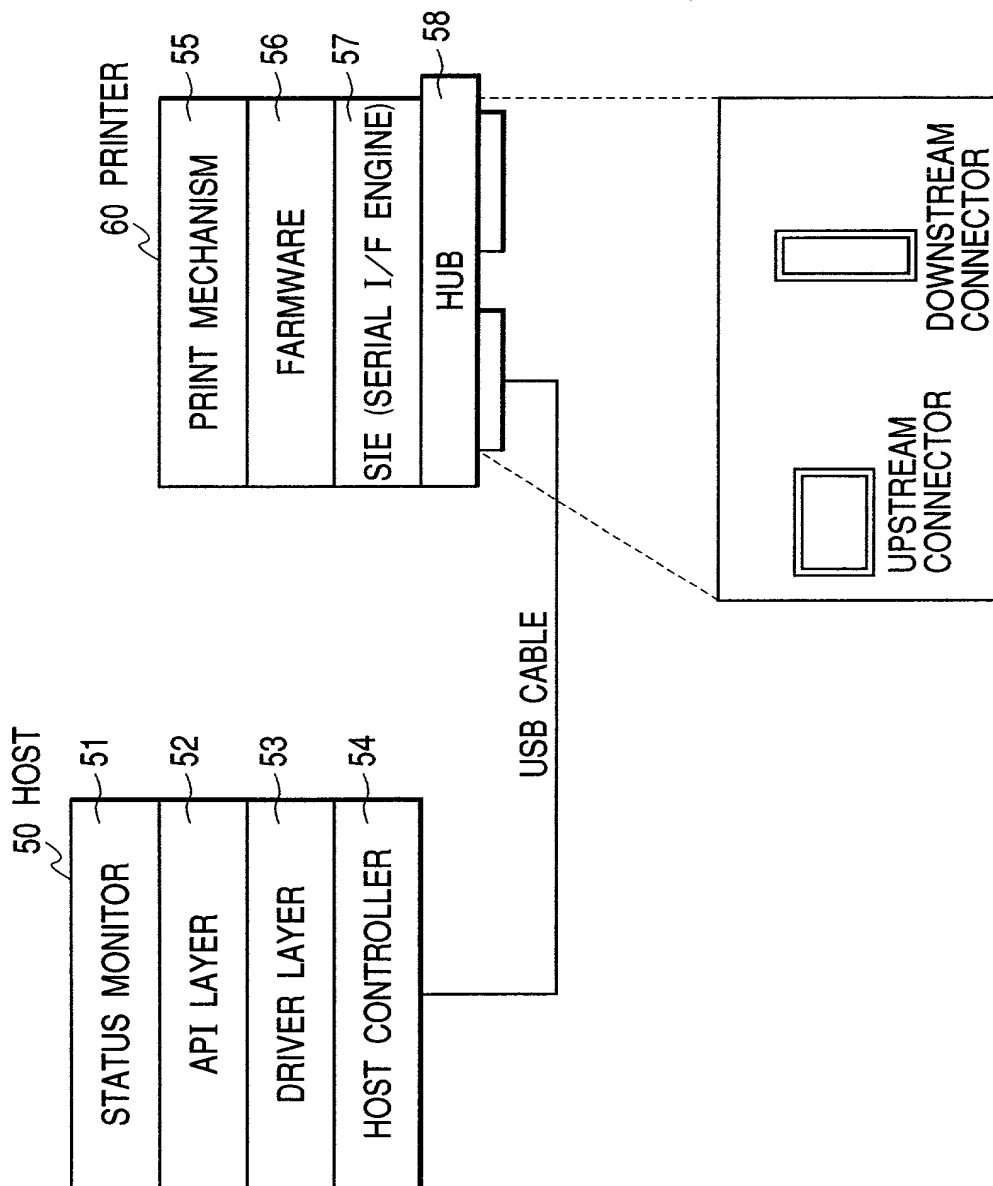
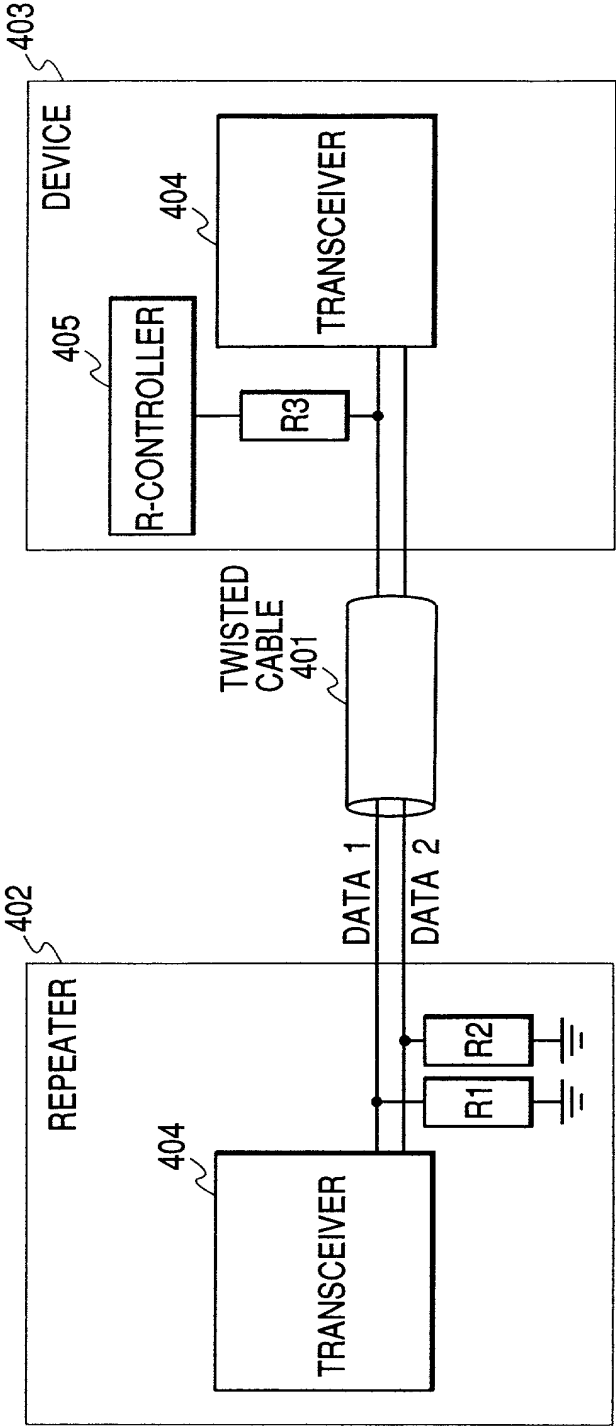


FIG. 7



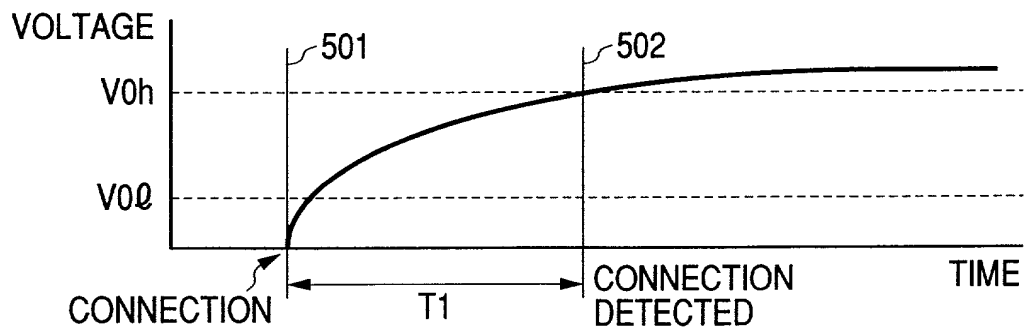
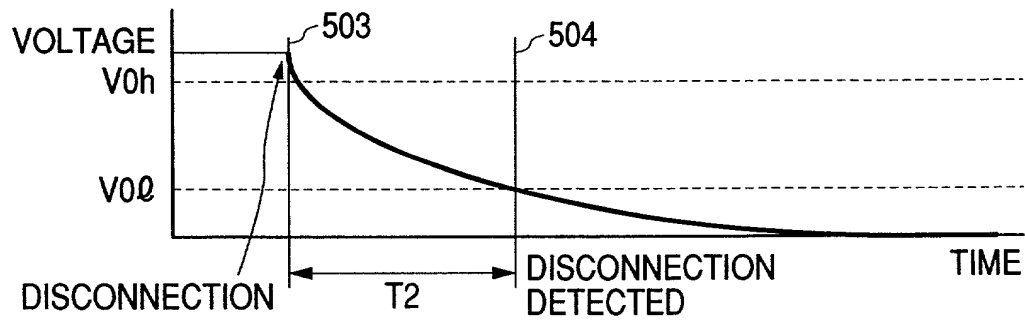
**FIG. 8A****FIG. 8B**



FIG. 9

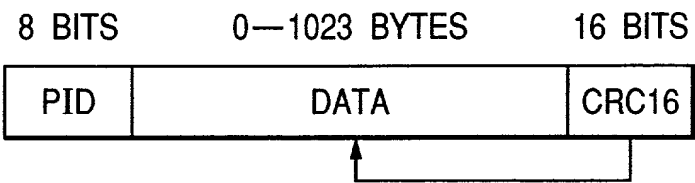


FIG. 10

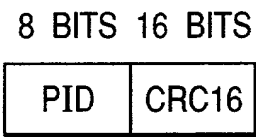
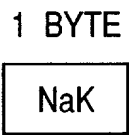
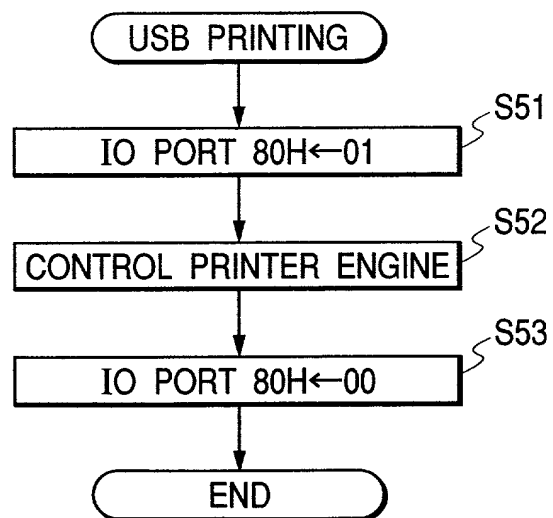
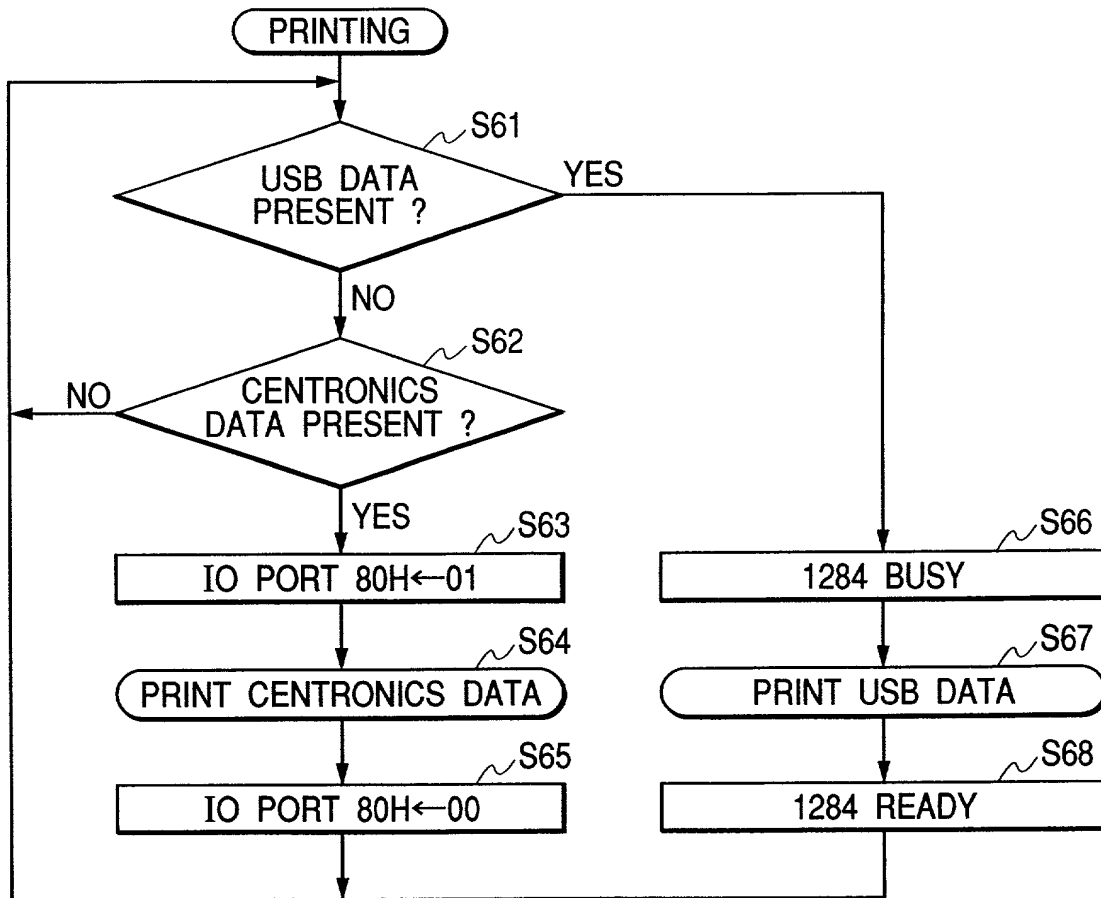
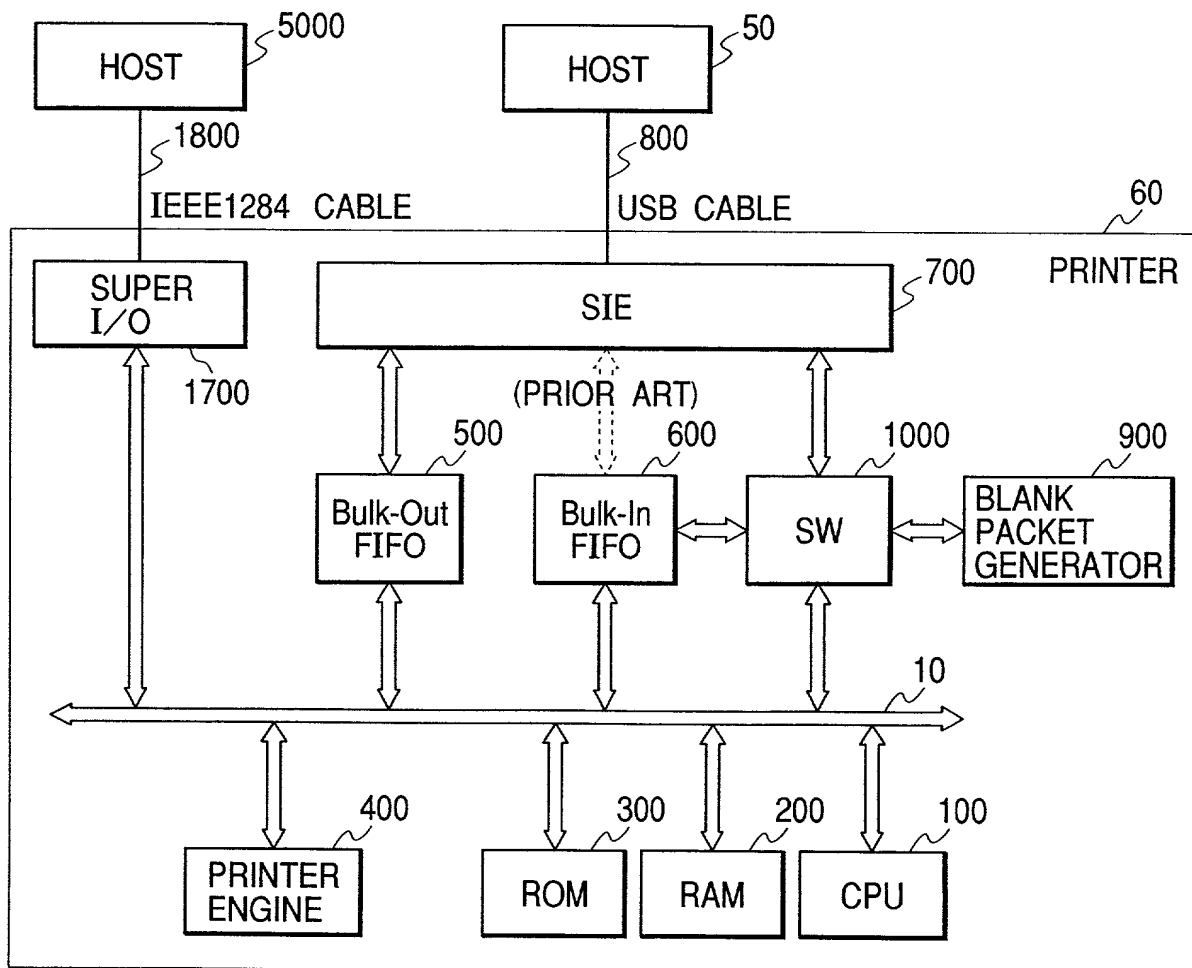


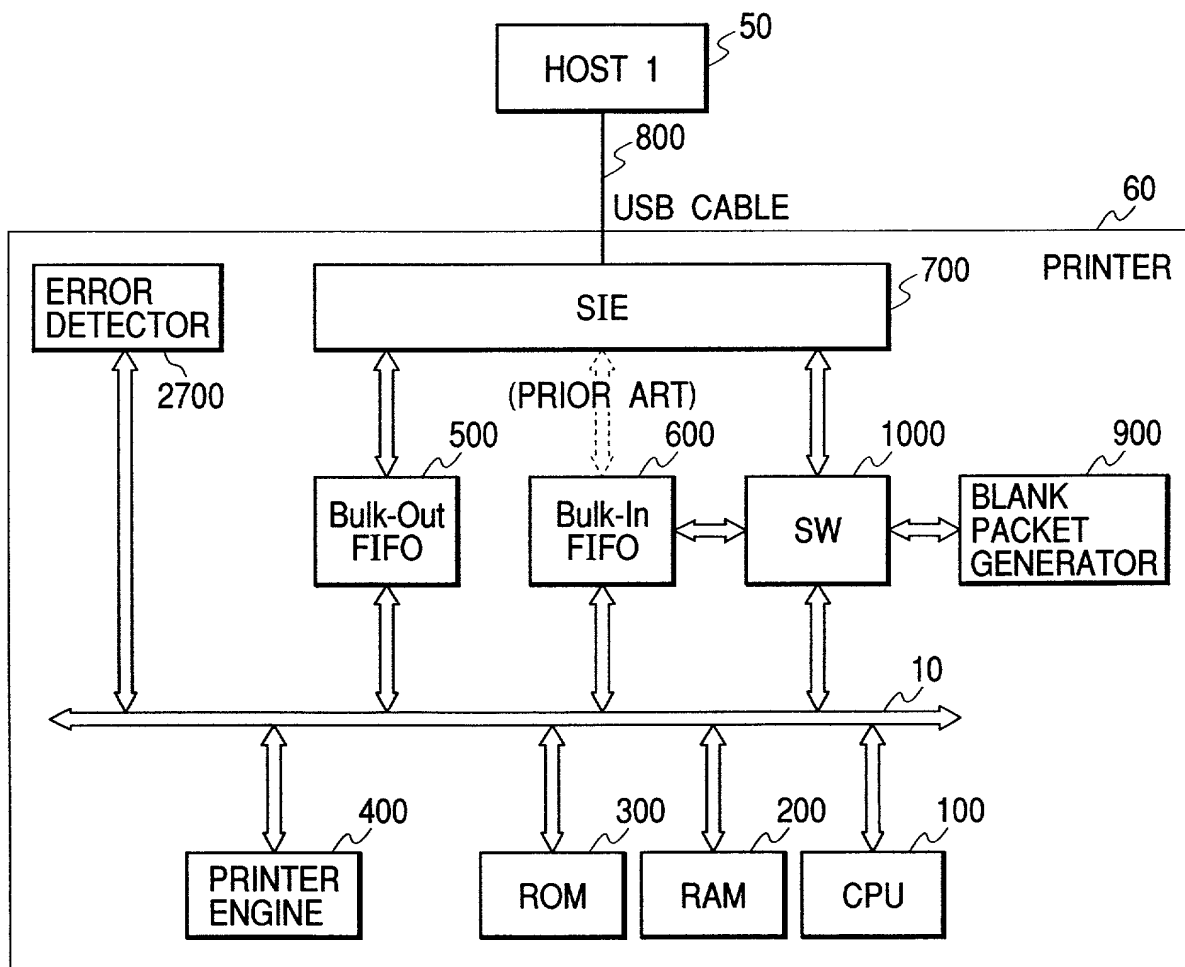
FIG. 11

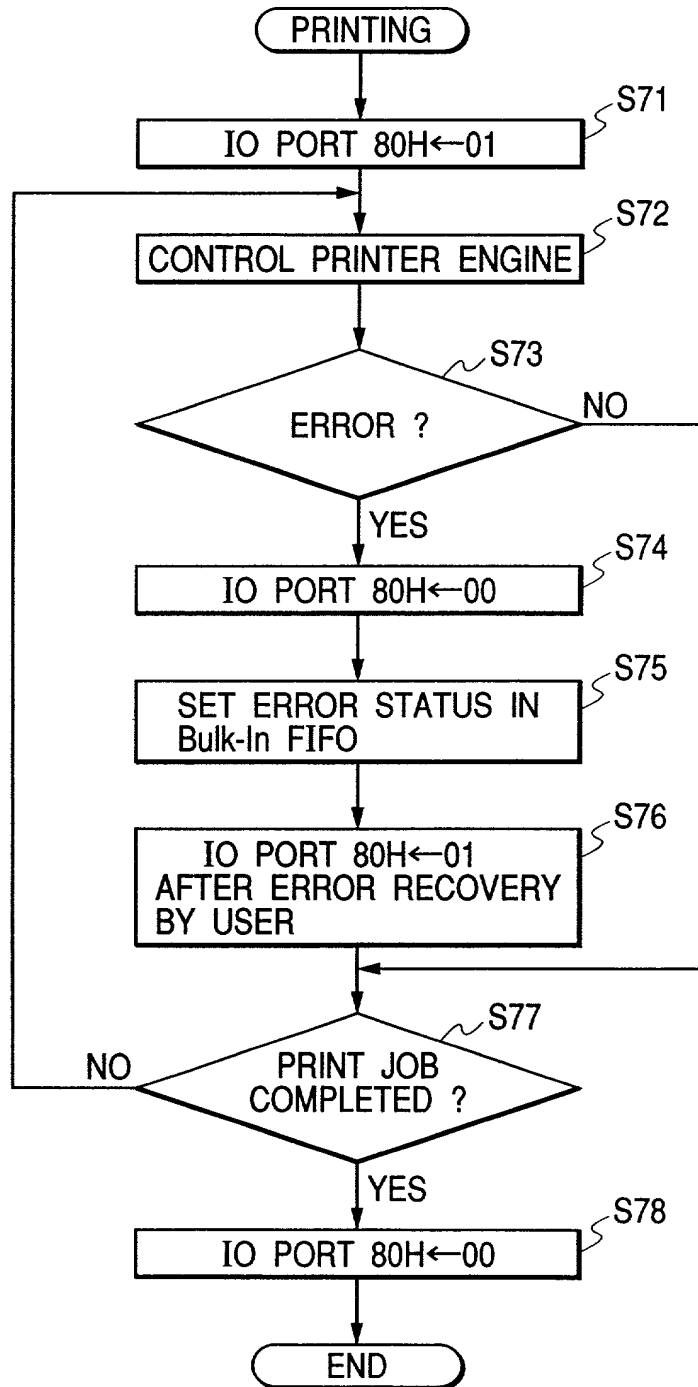


*FIG. 12*

**FIG. 13**

**FIG. 14**

*FIG. 15*

**FIG. 16**

**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

(Page 1)

As a below named inventor, I hereby declare that.

My residence, post office address and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PERIPHERAL APPARATUS, CONTROL METHOD FOR PERIPHERAL APPARATUS,  
MEMORY MEDIUM, AND INFORMATION PROCESSING APPARATUS

the specification of which ☒ is attached hereto ☐ was filed on \_\_\_\_\_ as United States  
Application No. or PCT International Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended  
by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's  
certificate, or § 365(a) of any PCT international application which designates at least one country other than the United States, listed below  
and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date  
before that of the application on which priority is claimed:

<u>Country</u>	<u>Application No.</u>	<u>Filed (Day/Mo./Yr.)</u>	<u>(Yes/No)</u> <u>Priority Claimed</u>
Japan	11-085855	March 29, 1999	Yes
Japan	2000-064721	March 9, 2000	Yes

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application  
designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the  
prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty  
to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the  
prior application and the national or PCT international filing date of this application.

<u>Application No.</u>	<u>Filed (Day/Mo./Yr.)</u>	<u>Status (Patented, Pending, Abandoned)</u>
N/A		

I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and  
to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address  
associated with that Customer Number:

**FITZPATRICK, CELLA, HARPER & SCINTO**  
**Customer Number: 05514**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief  
are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are  
punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements  
may jeopardize the validity of the application or any patent issued thereon

Full Name of Sole or First Inventor MASAKI NISHIYAMA

Inventor's signature \_\_\_\_\_

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